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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/052,199

Filing Date January 16, 2002

First Named Inventor SHENNIB, ADNAN

Art Unit 2643

Examiner Name DIONNE HARVEY

Attorney Docket Number 022176-000610US

		ENCLO	SURES (Chec	ck all that apply)		
П	Fee Transmittal Form	Dra	wing(s)		After Allowance Communication to TC		
	Fee Attached	Lice	ensing-related Pape	rs	Appeal Communication to Board of Appeals and Interferences		
	Amendment/Reply		ition to Withdraw Ho	lding of	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
	After Final	Peti Pro	ition to Convert to a visional Application wer of Attorney. Rev	ogation	Proprietary Information		
	Affidavits/declaration(s)		ange of Corresponde		Status Letter		
	Extension of Time Request	Ten	minal Disclaimer		Other Enclosure(s) (please identify below):		
	Express Abandonment Request	Red	quest for Refund		Return Postcard;		
	Information Disclosure Statement		Number of CD(s)		Copy of 4/12/04 RCE submission; Copy of 5/13/04 IDS submission;		
	·	CD, Number of CD(s)			Copy of 9/13/04 Revocation of POA and Change of Corres. address submission; Copy of 9/22/05 acceptance of POA; Copy of 10/17/05 Suppl. Notice of		
	•			00	Allowability; and		
			Landscape Table		Copy of 4/18/06 abandonment notice.		
	Certified Copy of Priority Document(s)	Remarks	Account 20-14		zed to charge any additional fees to Deposit		
	Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF	APPLICANT, A	TTORNEY, C	OR AGENT		
Firm N	Townsend and Town	send and C	rew LLP				
Signat	ture .	1000					
Printed	d name Joel M. Harris						
Date	09/19/2006			Reg. No.	44,743		

Express Mail Label: EV 824 642 598 US I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date 09/19/2006 and is addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Typed or printed name Lisa McDill Date 09/19/2006

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 and is addressed to: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Attorney Docket No.: 022176-000610US

Client Ref. No.: ISM-006CNT

Express Mail Label No.: EV 824 642 598 US

On September 19, 2006

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ADNAN SHENNIB et al.

Application No.: 10/052,199

Filed: January 16, 2002

For: DISPOSABLE EXTENDED WEAR

CANAL HEARING DEVICE

Customer No.: 20350

Confirmation No.: 9072

Examiner:

DIONNE PENDLETON

Technology Center/Art Unit: 2643

PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR 1.137

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants are in receipt of the 7/19/06 decision regarding applicants petition to withdraw the notice of abandonment mailed April 18, 2006 (indicating the above-identified application has been abandoned for failure to pay issue fee and publication fee). Applicants respectfully request reconsideration of the petition. As is explained below, Applicants submit that the holding of abandonment was improper and respectfully requests withdrawal of the holding of abandonment.

The timeline of relevant events in the case is as follows. Applicants prior representative had received a Notice of Allowance of 1/13/2004. On 4/12/2004 Applicants had

Attorney Docket No.: 022176-000610US

Client Ref. No.: ISM-006CNT

the current representative file an RCE (in order to submit an IDS) and paid the fee for an RCE under 37 CFR 1.17i for suspension of action. Applicants filed an IDS on 5/11/04. Applicants then received a Supplemental Notice of Allowability on October 17, 2005 but did **not** receive with that document any paperwork related to a Notice of Allowability and Fee(s) due. Also, there was no indication on PAIR of an issue fee deadline associated with the 10/17/05 Notice and no indication of any notice of allowance from 09/10/04.

The crux of the decision on the 5/25/06 petition appears to be based on the contention that there was a Notice of Allowance mailed 9/10/04 (e.g., page 1 of the decision indicates that the application went abandoned for not filing a response to the 9/10/04 Notice). Applicants respectfully point out that they never received the 9/10/04 Notice of Allowance. Nor did applicants see the 9/10/04 notice on PAIR prior to the filing of the 5/25/06 petition. Importantly, Shemekia Brown (the secretary for Applicants representative) was on the phone with Examiner Pendleton on 5/05/06 while both were looking at PAIR and both agreed that the 9/10/04 Notice was not on PAIR at that time. Applicants note that they noticed the 9/10/04 Notice did appear on PAIR after they filed the 5/25/06 petition, but at no time before the filing of the petition did they see the 9/10/04 Notice on PAIR.

Applicants also wish to respectfully point out that the Patent Office itself deemed that the application was still pending on 10/17/05 as evidenced by the Supplemental Notice of Allowance mailed on 10/17/05. The Supplemental Notice indicated that claims were allowed and that it was responsive to Applicants IDS filed on 5/11/04. No where on the 10/17/05 Notice did the Patent Office indicate that the application had gone abandoned.

Thus, the holding of abandonment was improper in three respects. First, Applicants' representatives never received the 9/10/04 Notice of Allowance. Second, the Patent office itself held that the application was pending as of 10/17/05 as evidenced by the 10/17/05 Supplemental Notice of Allowability. Third, no Notice of Fee(s) Due was issued in connection with the 10/17/05 Supplemental Notice of Allowability and the 4/12/04 RCE should have negated the requirement for responding to the issue fee payment deadline from the 1/13/2004 Notice of Allowance.

Attorney Docket No.: 022176-000610US

Client Ref. No.: ISM-006CNT

For all the reasons above, Applicants respectfully request withdrawal of the holding of abandonment. If a telephone conference would expedite the granting of this petition, please telephone the undersigned at 650-326-2400.

Also, because Applicants were not responsible for the holding of abandonment, Applicants respectfully submit that no fee should be do with this petition. Applicants were also informed of such by Examiner Pendleton.

In support of Applicants petition enclosed herewith are the following:

- 1. A copy of the RCE filed on April 12, 2004.
- 2. A copy of the Information Disclosure Statement filed May 11, 2004 with thirty-five (35) references cited.
- 3. A copy of the Revocation of Power of Attorney with a New Power of Attorney and Change of Correspondence Address filed on September 13, 2004.
- 4. A copy of the Notice of Acceptance of the Power of Attorney that was mailed September 22, 2005.
 - 5. A copy of the Supplemental Notice of Allowability mailed October 17, 2005.
 - 6. A copy of the Notice of Abandonment mailed April 18, 2006.

Attorney Docket No.: 022176-000610US

Client Ref. No.: ISM-006CNT

It is believed that no fees are due with this petition; however, should any fees be required under 37 C.F.R. § 1.16 to 1.21 and 1.137 or other relevant portion of 37 CFR for any reason, the Commissioner is authorized to charge Deposit Account No 20-1430.

Respectfully submitted,

Jøel M. Harris

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 415-576-0300

Attachments
JMH:snb
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Request for Continued Examination FILING ACKNOWLEDGMENT

Mailing Dat	e: April 12, 2004	Seri	al No.:	10/052,199			
File No.:	022176-000610US / ISM-0006CNT	Attorney:	JMH/jke	10.032,177			
Applicant:	ADNAN SHENNIB et al.						
Title: DISPOSABLE EXTENDED WEAR CANAL HEARING DEVICE							

Please stamp the date of receipt of the enclosed documents and return this card to addressee

- l pg. Transmittal
- 2 pgs. Fee Transmittal (duplicate)
- I pg. Preliminary Remarks\
 - 1 pg. Request for Continued Examination (RCE)Transmittal
- 1 pg Return Postcard
 - 6 pages

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Request for Continued Examination FILING ACKNOWLEDGMENT

	Mailing Da	te: April 12, 2004		OMICIAI		
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- 1 pg. Transmittal
 - 2 pgs. Fee Transmittal (duplicate)
- 1 pg. Preliminary Remarks\
 - I pg. Request for Continued Examination (RCE)Transmittal

 - 6 pages



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TO THE PROPERTY OF THE PROPERT		Applic	ation Number	10/052,199			
EODM			Filing	Date	January 1	6, 2002	
FORM			First N	lamed Inventor	SHENNIB, ADNAN		
(to be used for all co	rrespondence after i	initial filing)	Art Un	iit	2643		
			Exami	iner Name	DIONNE	HARVEY	
Total Number of Pag Submission	es in This	6	Attorn	ey Docket Number	022176-0	00610US / ISM-006CNT	
		ENC	OSURE	S (Check all that appl	v)		
Fee Transmittal	Form	Drawin				Allowance Communication to Group	
Fee Attach		l		ed Papers		al Communication to Board of Appeals	
Amendment/Rep	bly	Petition				al Communication to Group (Appeal , Brief, Reply Brief)	
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Extension of Tim	e Request	Termin	Ferminal Disclaimer			Enclosure(s) e identify below):	
Express Abando	nment Request		Request for Refund			y Remarks	
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Certified Copy of Document(s)	Priority	Remar	ks	The Commissioner is a Account 20-1430.	authorized to	charge any additional fees to Deposit	
Response to Mis							
Response tunder 37 C	o Missing Parts FR 1.52 or 1.53						
	SIGI	NATURE O	FAPPL	ICANT, ATTORNEY,	OR AGEN	Т	
Firm	Townsend and T						
or Individual	James M. Heslin			Reg. No	o. 29,541	· _ ·	
Signature			_				
Date	April 12, 2004						
	$\overline{}$	CERTIFIC	ATE OF	TRANSMISSION/M	AILING		
I hereby certify that this co as first class mail in an en	rrespondence is being velope addressed to: Co	facsimile transi ommissioner fo	nitted to t	he USPTO or deposited with , P.O. Box 1450, Alexandria	h the United St , VA 22313-14	tates Postal Service with sufficient postage 50 on the date shown below.	
Typed or printed name	e JoAnn Evan	gelista					
Signature	Mary	Ellas	all	ista	Date	April 12, 2004	



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			Application Number	10/052,199				
٠	for FY 2	for FY 2004		January 16, 2002				
	Effective 10/01/2003. Patent fees are	subject to annual revision.	First Named Inventor	ADNAN SHENNIB				
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name	DIONNE HARVEY				
			Art Unit	2643				
. το	TAL AMOUNT OF PAYMENT	(\$) \$515	Attorney Docket No.	022176-000610US / ISM-006CNT				

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Account Number	20-143	30	1051	130	2051	65	Surcharge - late filing fee or oath	
•			1052	50 .	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Townsend	i and Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name	L		1812	2.520	1812	2,520	For filing a request for reexamination	
	authorized to: (s) indicated belo	check all that apply) w	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any	additional fee(s)	or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		w, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
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1. BASIC F	ILING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity		1254	1,480	2254	740	Extension for reply within fourth month	
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Code (\$)	Code (\$)		1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770	2001 385	Utility filing fee	1401	330	2401	165	Notice of Appeal	
1002 340	2002 170	Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530	2003 265	Plant filing fee	1403	290	2403	145	Request for oral hearing	
	2004 385 2005 80	Reissue filing fee Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		To.	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTO	TAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CI	LAIM FEES F	OR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
		Fee from	1502	480	2502	240	Design issue fee	
	Ex	tra Claims below Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims	T		1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Petitions related to provisional applications	
Claims Multiple			1806	180	1806	180	Submission of Information Disclosure Strnt	
Dependent Large Entity	Small Entity	1	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fe Code (\$)	ree Description	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 1201 86	2202 2201 4	9 Claims in excess of 20 3 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290	2203 14 2204 4	** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	385
1204 86 1205 18	1	over original patent ** Reissue claims in excess of 20	<u>1</u> 802,	900	1802	900	Request for expedited examination of a design application	
1203 10	1	and over original patent	Other f	ee (specify	1		o. a 200.8 app	400
	SL	IBTOTAL (2) (\$)	Culer	se (sherris	'	37 CFR 1.1	7(i) Suspension of Action w/RCE	130
**or number prev		ater; For Reissues, see above	*Reduc	ed by Basi	c Filing	Fee Paid	SUBTOTAL (3) (\$515	
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SUBMITTED BY		·		Cc	omplete (if applicable)	
Name (Print/Type)	James M. Heslin	Registration No. (Attorney/Agent)	29,541	Telephone	650-326-2400	
Signature				Date	April 12, 2004	

60192032 v1

SEP 1 9 2006

I hereby certify that his percessor there is being deposited with the United

States Postal Service as first class mail in an envelope addressed to:

MAIL STOP: RCE
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 On April 12, 2004

TOWNSEND and TOWNSEND and CREW LLP

JoAnn Evangelista

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Confirmation No. 9072

Technology Center/Art Unit: 2643

PRELIMINARY REMARKS

PATENT

Attorney Docket No.: 022176-000610US

DIONNE HARVEY

Client Ref. No.: ISM-006CNT

In re application of:

ADNAN SHENNIB et al.

Application No.: 10/052,199

Filed: January 16, 2002

For: DISPOSABLE EXTENDED

WEAR CANAL HEARING

DEVICE

Customer No.: 20350

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants have filed a Request for Continued Examination (RCE) in order to (1) submit formal substitute drawings, and (2) to file an Information Disclosure Statement. Both of these items will be submitted within 60 days of this RCE filing, and Applicants request that no action on this case be taken until the new papers have been received.

The undersigned is Applicant's new counsel and will be submitting a substitute

Power of Attorney shortly.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 / Fax: 415-576-0300

Attachments: RCE JMH:jke / 60192021 v1

Request For Continued Examination (RCE) Transmittal

Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Application Number	10/052,199
Filing Date	January 16, 2002
First Named Inventor	ADNAN SHENNIB
Art Unit	2643
Examiner Name	DIONNE HARVEY
Attorney Docket Number	022176-000610US/ISM-006CNT

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice for 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

SEP 19 2006

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amendm	ents en nt does	uired under 37 CFR closed with the RCE will not wish to have any pret(s).	be entered in the order i	n which	they wer	e filed unless applica	nt instructs otherwise.
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			OF APPLICANT, ATTO				
Name (Print /	Type)	James M. Heslin				. (Attorney/Agent)	29,541
Signature	7	A		Date		April 12, 2004	·
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envelope address	ssed to: f	correspondence is being dep Mail Stop RCE, Commission ffice on the date shown belo	er for Patents, P.O. Box 14	es Posta 50, Alex	l Service w andria, VA	ith sufficient postage as 22313-1450, or facsimile	first class mail in an e transmitted to the U.S.
Name (Print /	Гуре)	JoAnn Evangelista		, _			
Signature	(pour lu	axgelist	Date	Apr	1 12, 2004	
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TO THE U.S. PATENT AND TRADEMARK OFFICE Please stamp the date of receipt of the following document(s) and return this card to us:

RE: SHENNIB

TITLE OF DOCUMENT(S):

Transmittal Form Information Disclosure Statement Fee Authorization (see Page 2) PTO/SB/08A & PTO/SB/08B 35 Reference Copies



Application No 10/052,199

File No 022176-000610US

Date due

Date Mailed 05/11/04

Atty/Secty. JMH/jke
60213565 v1

TO THE U.S. PATENT AND TRADEMARK OFFICE Please stamp the date of receipt of the following document(s) and return this card to us:

RE: SHENNIB

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Transmittal Form Information Disclosure Statement Fee Authorization (see Page 2) PTO/SB/08A & PTO/SB/08B

35 Reference Copies

Application No 10/052,199

File No 022176-000610US

Date due

Date Mailed 05/11/04

Atty/Secty. JMH/jke

60213565 v1

	/6	TPE	Applic	ation Number	10/052,199
FORM SEP 1 9 2006 F		. 2	Filing		January 16, 2002
		First A	lamed Inventor	SHENNIB, ADNAN	
(to be used for all co	orrespondence ofter	initial filing)	Art Un	it	2643
	We !	RABEMARK	Exami	iner Name	DIONNE HARVEY
Total Number of Pag Submission	ges in This		Attorn	ey Docket Number	022176-000610US
		ENCI	LOSURE	S (Check all that appl	y)
Fee Transmittal	Form	☐ Drawin	g(s)		- After Allowance Communication to Group
Fee Attach	ed	Licensi	ng-relate	ed Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Rep	ply	Petition	1		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final			to Conv		Proprietary Information
Affidavits/d	edaration(s)	_		ey, Revocation espondence Address	Status Letter
Extension of Tim	ne Request	Termin	Terminal Disclaimer		Other Enclosure(s) (please identify below):
<u>.</u>		Request for Refund		fund	Return Postcard
Express Abando	nment Request	CD, Number of CD(s)		CD(s)	PTO/SB/08A and /08B Form
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Certified Copy of Document(s)	Priority	Remar	ks	The Commissioner is a Account 20-1430.	authorized to charge any additional fees to Deposit
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	SIGI	NATURE OI	F APPL	ICANT, ATTORNEY,	OR AGENT
Firm	Townsend and T		nd Crew		
or Individual	James M. Heslin			Reg. No	o. 29,541
Signature	A		_		·
Date	151	10/04			
		CERTIFICA	ATE OF	TRANSMISSION/M/	AILING
I hereby certify that this co as first class mail in an en	orrespondence is being to velope addressed to: Co	acsimile transr	nitted to the Patents,	ne USPTO or deposited with P.O. Box 1450, Alexandria	n the United States Postal Service with sufficient postage , VA 22313-1450 on the date shown below.
Typed or printed name	e Edward Mas	inas			
Signature Edward Masma					Date 5-//- 0\$

I hereby certify that this correspondence is an ing deposited with the United States Postal Service as first class mail in an envelope addressed to:

<u>PATENT</u>

Attorney Docket No.: 022176-000610US Client Reference No.: ISM-006CNT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

~ 5-11-04

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By: Cawasa Mash

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 1 9 2008

In re application of:

ADNAN SHENNIB et al.

Application No.: 10/052,199

Filed: January 16, 2002

For: DISPOSABLE EXTENDED WEAR

CANAL HEARING DEVICE

Examiner: DIONNE HARVEY

Art Unit: 2643

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

ADNAN SHENNIB et al. Application No.: 10/052,199 Page 2

This Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James N. Heslin Reg No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JMH:bjl

60208894 v1

Substitute for form 1449B/PTO INFORMATION DISC STATEMENT BY APPLICANT

	Complete if Known	
Application Number	10/052,199	
Filing Date	January 16, 2002	
First Named Inventor	SHENNIB, ADNAN	
Art Unit	2643	
Examiner Name	DIONNE HARVEY	
Attorney Docket Number	022176-000610US	

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Shoot	11		of	2	

	·	Comment Number	U.S. PATENT DO		
xaminer Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-3,594,514	07-20-1971	Wingrove	
	AB	US-3,764,748	10-09-1973	Branch et al.	
	AC	US-3,870,832	03-11-1975	Fredrickson	
	AD	US-3,882,285	05-06-1975	Nunley et al.	
	AE	US-4,505,329	08-19-1986	Hough	
	AF	US-4,628,907	12-16-1986	Epley	
	AG	US-4,756,312	07-12-1988	Epley	
	AH	US-4,776,322	10-11-1988	Hough et al.	
	Al	US-4,817,607	04-04-1989	Tatge	
	AJ	US-4,840,178	06-20-1989	Heide et al.	
	AK	US-4,957,478	09-18-1990	Maniglia	
	AL	US-5,015,224	05-14-1991	Maniglia	
	AM	US-5,015,225	0414-1991	Hough et al.	
-	AN	US-5,163,957	11-17-1992	Sadé et al.	
	AO	US-5,220,918	06-22-1993	Heide et al.	
	AP	US-5,259,032	11-02-1993	Perkins et al.	
-	AQ	US-5,282,858	02-01-1994	Bisch et al.	· · · · · · · · · · · · · · · · · · ·
	AR	US-5,338,287	08-16-1994	Miller et al.	
	AS	US-5,425,104	06-13-1995	Shennib	<u> </u>
	AT	US-5,456,654	10-13-1995	Ball	·
	. AU	US-5,531,787	07-02-1996	Lesinşki et al.	<u> </u>
	AV	US-5,554,096	09-10-1996	Ball	
	AW	US-5,624,376	04-29-1997	Ball et al.	
	AX	US-5,654,530	08-05-1997	Sauer et al.	
	AY	US-5,682,020	10-28-1997	Oliveira	
	AZ	US-5,701,348	12-23-1997	Shennib et al.	
	BA	US-5,833,626	11-10-1998	Leysieffer	
	BB	US-5,730,699	03-24-1998	Adams et al.	
	BC	US-6,408,081 B1	06-18-2002	Boesen	
	BD	US-6,620,110 B2	09-16-2003	Schmid	
	BE	US-6,643,378 B2	11-04-2003	Schumaier	
	BF	US-6,648,813 B2	11-18-2003	Zilberman et al.	
	BG	US-6,658,126 B1	12-02-2003	Stern	

Examiner		Date	
Signature	·	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449	B/PTO		Complete if Known			
				Application Number	10/052,199		
			CLOSURE	Filing Date	January 16, 2002		
STATEMENT BY APPLICANT				First Named Inventor	SHENNIB, ADNAN		
				Art Unit	2643		
(use as mar	y sheets as	necessary)	Examiner Name	DIONNE HARVEY		
Sheet	2	of	2	Attorney Docket Number	022176-000610US		

				FOREIGN PA	TENT DOCUME	NTS	·	
Examiner	Cite	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Country Code ³	Number⁴	Kind Code ^s (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	т⁰
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Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T 2
	вн	Chasin, Marshall, CIC Handbook, Singular Publishing Group, Inc., (1997) pp. 12-14, 17-18, 27-28, 44, 56-58, 65-66.	
	ВІ	Oliveira, Robert J., Navarro, Richard, "The Wax Problem: Two New Approaches," <i>The Hearing Journal</i> (August 1993) Vol. 46, No. 8, pp. 41-46.	
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Examiner	Date	•
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

I/UUI LAX DEI

Auto-Reply Facsimile Transmission



TO:

Fax Sender at 16503262422

Fax Information

Date Received: Total Pages:

9/13/2004 8:54:52 PM [Eastern Daylight Time]

4 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page

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and .		Walnut Crosk, C	Caffornia	379 Lytton Avenue
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Date:	Client & Matter N	ımber:	No. Pages (including this one):
September 13, 2004	022176-000	610US		4
ro: Dionne Harvey JSPTO	Al Fax Number: (703) 872	9306	Confirmation	Phone Numbert
From: Joel M. Harris				(5129
Attorney and Change of Correspond		of Power nent Unde	of Attomey war 37 CFR 3	with New Power of 73(b) for U.S.
Attorney and Change of Correspond	ndence Address, and Stater	of Power nent Unde	of Atlomey were 37 CFR 3.1	vith Naw Power of 73(b) for U.S.
Message: Attached are the Tr Attorney and Change of Correspor Patent Application Serial No. 10/0:	ndence Address, and Stater	of Power nent Unde	of Attomey v	vith New Power of 73(b) for U.S.
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Attorney and Change of Correspondation Serial No. 10/0:	ndence Address, and Stater 52,199 filed 1/16/02. SENT BY PEDEXOVERNIGHT COURT	R BES	SENT BY MESSEN	73(b) for U.S.

	OIP E	1006 JOE			PTO/SB/21 (04-04)
	2		Application Number	10/	052,199
	TRANSMITTE	ENIST	Filing Date	Jan	uary 16, 2002
	FORM		First Named Inventor	SHI	ENNIB, ADNAN
(to	be used for all correspondence after initial fil	ing)	Art Unit	264	3
			Examiner Name	DIC	ONNE HARVEY
Tot	al Number of Pages in This Submission	[Attorney Docket Number	022	176-000610US
100	ar Number of Fages in This Cubiniscien				
		ENC	CLOSURES (Check all that	apply	
	Fee Transmittal Form		Drawing(s)		After Allowance Communication to Technology Center (TC) Appeal Communication to Board
	Fee Attached		Licensing-related Papers		of Appeals and Interferences
	Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
	After Final		Petition to Convert to a Provisional Application		Proprietary Information
	Affidavits/declaration(s)	\boxtimes	Power of Attorney, Revocation Change of Correspondence Addre	ess	Status Letter
	Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):
	Express Abandonment Request		Request for Refund		Statement Under 37 CFR 3.73(b)
	Information Disclosure Statement	[Ш_	CD, Number of CD(s)		
	Certified Copy of Priority Document(s)	Rema	The Commissioner is a Account 20-1430.	uthoriz	red to charge any additional fees to Deposit
	Response to Missing Parts/ Incomplete Application			•	
	Response to Missing Parts under 37 CFR 1.52 or 1.53				
			OF APPLICANT, ATTORN	EY, C	OR AGENT
Firm or	Townsend and Town	send an	nd Crew LLP		
	ual name Joel M/Harris		Reg	. No. 4	44,743
Signati	ure	Jan			

CERTIFICATE OF TRANSMISSION/MAILING

Typed or printed name

Marcia D. Shea

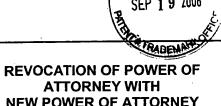
Signature

Signature Date

Date

9/13/04

PTO/SB/82 (09-03)



ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	10/052,199
Filing Date	01/16/2002
First Named Inventor	Adnan Shennib et al.
Art Unit	2643
Examiner Name	Harvey Dionne
Attorney Docket Number	022176-000610US

I hereby revoke all previous powers of attorney give	en in the above-identified application:	
A Power of Attorney is submitted herewith.		
OR ·		
I hereby appoint the practitioners associated with the	e Customer Number: 20350	
Please change the correspondence address for	the above-identified application to:	
The address associated with Customer Number:	0350	
OR .		
Firm <i>or</i> Individual Name		
Address		
Address		
City	State ZIP	
Country		
Telephone	Fax	
I am the: Applicant/Inventor. Assignee of record of the entire interest. See Statement under 37 CFR 3.73(b) is enclosed.		
	icant or Assignee of Record	
Name Susan Whichard, Vice President, Ir	nSound Medical, Inc.	
Signature Susan Whichar	d	
Date 9-7-04	Telephone 510-792-4000	
NOTE: Signatures of all the inventors or assignees of record of the ent more than one signature is required, see below*.	tire interest or their representative(s) are required. Submit multiple forms	if





Attorney Docket No. 022176-000610US Client Ref. No. ISM-006CNT

STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Insonus Medical, Inc., (now InSound Medical, Incsee attached merger document 01/08/2002)				
Application No./Patent No.: 10/052,199 (CON of 09/327,717) Filed/Issue Date: 01/16/2002				
Entitled: Disposable Extended Wear Canal Hearing Device				
InSound Medical, Inc. , a Corporation				
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)				
states that it is:				
1. X the assignee of the entire right, title, and interest; or				
 an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is% 				
in the patent application/patent identified above by virtue of either:				
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reels <u>010037</u> and <u>010037</u> , Frames <u>0581</u> and <u>0590</u> , respectively, or for which a copy thereof is attached.				
OR				
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:				
1. From:				
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.				
2. From:				
2. From: 10 : The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
3. From: To :				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet.				
☑ Copies of assignments or other documents in the chain of title are attached.				
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.				
9-7-04 Susan Whichard				
Date Typed or printed name				
510-792-4000 Suga Whichard				
Telephone number Signature				
CEO, InSound Medical, Inc.				
Title				



ATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/052,199

01/16/2002

Adnan Shennib

022176-000610US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 9072

Date Mailed: 09/22/2005

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/13/2005.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

WUBALEM TSIGE PTOSS (703) 305-3006

ATTORNEY/APPLICANT COPY

THE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignaia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/052,199

01/16/2002

Adnan Shennib

ISM/006CNT

CONFIRMATION NO. 9072

Donald R. Greene P.O. Box 12995 Scottsdale, AZ 85267-2995

OC000000017084935

Date Mailed: 09/22/2005

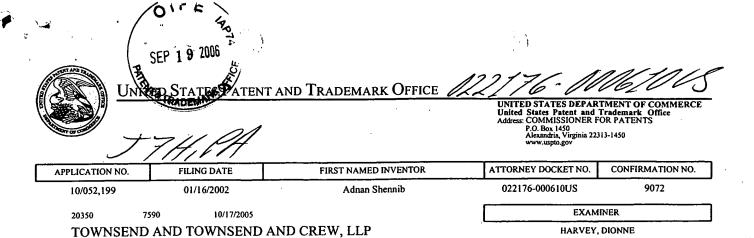
NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/13/2005.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

PTOSS (703) 305-3006

NEW ATTORNEY/AGENT COPY



DATE MAILED: 10/17/2005

ART UNIT

2643

PAPER NUMBER

Please find below and/or attached an Office communication concerning this application or proceeding.

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111-3834

EIGHTH FLOOR

THE

	· · · · · · · · · · · · · · · · · · ·			
Sepal Applie	cation No.	Applicant(s)		
	-, 100	SHENNIB ET AL.		
Notice of Allowability SEP 1 9 2006 Exam	iner P	Art Unit		
	e N. Harvey	2646		
The MAILING DATE of this communication appears on All claims being allowable, PROSECUTION ON THE MERITS IS (OR RE herewith (or previously mailed), a Notice of Allowance (PTOL-85) or othe NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. of the Office or upon petition by the applicant. See 37 CFR 1.313 and MI 1. This communication is responsive to IDS filed 5/11/2004.	:MAINS) CLOSED in this applic r appropriate communication w This application is subject to w	rill be mailed in due course. THIS		
2. The allowed claim(s) is/are 1.3.5-12.14.15.17-19.21.22.24.29-35 a	and 38.			
3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Lidentifying Indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).				
6. DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FOR TI	IOLOGICAL MATERIAL mu	ust be submitted. Note the		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal Pat 6. Interview Summary (F Paper No./Mail Date 7. Examiner's Amendment 8. Examiner's Statement 9. Other	PTO-413), ent/Comment		
	SUPERVISORY	HTRAN PATENT EXAMINER		

2/76-00061045 United Street Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov CONFIRMATION NO. ATTORNEY DOCKET NO. APPLICATION NO. FIRST NAMED INVENTOR 022176-000610US 9072 Adnan Shennib 10/052,199 **EXAMINER** 04/18/2006 20350 TOWNSEND AND TOWNSEND AND CREW, LLP PENDLETON, DIONNE TWO EMBARCADERO CENTER ART UNIT PAPER NUMBER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834 2615

DATE MAILED: 04/18/2006

NOT AEANDONED Check Abandoned Status:

IN DOCKET

Please find below and/or attached an Office communication concerning this application or proceeding.



Application No.	Applicant(s)	
10/052,199	Shennib	
Examiner	Art Unit	
PENDLETON	2615	

Notice of Abandonment -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-This application is abandoned in view of: 1. Applicant's failure to timely file a proper reply to the Office letter mailed on _ (a) A reply was received on ____ (with a Certificate of Mailing or Transmission dated ____), which is after the expiration of the period for reply (including a total extension of time of ____ month(s)) which expired on ___ (b) A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). (c) A reply was received on _____ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the nonfinal rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below). (d) No reply has been received. 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85). (b) The submitted fee of \$____ is insufficient. A balance of \$____ is due. The issue fee required by 37 CFR 1.18 is \$____. The publication fee, if required by 37 CFR 1.18(d), is \$____. (c) The issue fee and publication fee, if applicable, has not been received. 3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37). (a) Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply. (b) No corrected drawings have been received. 4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. 5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application. 6. The decision by the Board of Patent Appeals and Interference rendered on ____ and because the period for seeking court review of the decision has expired and there are no allowed claims. 7. The reason(s) below:

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

Attachment to Notice of Abandonment

or questions concerning the notice contact
Office of Patent Publication
Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

1. Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., a reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as follows:

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fee(s) Due was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows:

By mail:

Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(c) III)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment